



# On generalized sampling in $U$ -invariant spaces

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# Outline

## 1 Motivation

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- 3 Irregular case

Generalized sampling problem in shift-invariant subspaces of  $L^2(\mathbb{R})$ .

Assume that  $\varphi \in L^2(\mathbb{R})$ ; if the sequence  $\{\varphi(t - n)\}_{n \in \mathbb{Z}}$  is a Riesz sequence for  $L^2(\mathbb{R})$ , then we can define the shift-invariant space  $V_\varphi^2$

$$V_\varphi^2 = \left\{ \sum_{n \in \mathbb{Z}} \alpha_n \varphi(t - n) : \{\alpha_n\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z}) \right\}$$

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A sequence  $\{x_n\}_{n \in \mathbb{Z}}$  in a separable Hilbert space  $\mathcal{H}$  is called a **Riesz sequence** if there exists constants  $0 < c \leq C < \infty$  such that

$$c \left( \sum_{n \in \mathbb{Z}} |a_n|^2 \right) \leq \left\| \sum_{n \in \mathbb{Z}} a_n x_n \right\|^2 \leq C \left( \sum_{n \in \mathbb{Z}} |a_n|^2 \right)$$

for all  $\{a_n\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$ .

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A **Riesz basis** in a separable Hilbert space  $\mathcal{H}$  is the image of an orthonormal basis by means of a bounded invertible operator

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The generalized sampling problem is to obtain sampling formulas in  $V_\varphi^2$  having the form

$$f(t) = \sum_{j=1}^s \sum_{m \in \mathbb{Z}} (\mathcal{L}_j f)(rm) S_j(t - rm), \quad t \in \mathbb{R},$$

where the reconstruction sequence of functions  $\{S_j(\cdot - rm)\}_{m \in \mathbb{Z}; j=1,2,\dots,s}$  is a frame for  $V_\varphi^2$ .

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A sequence  $\{f_k\}_{k=1}^\infty$  is a **frame** for a separable Hilbert space  $\mathcal{H}$  if there exist constants  $A, B > 0$  (frame bounds) such that

$$A\|f\|^2 \leq \sum_{k=1}^{\infty} |\langle f, f_k \rangle|^2 \leq B\|f\|^2 \quad \text{for all } f \in \mathcal{H}$$

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In case that the sequence  $\{U^n a\}_{n \in \mathbb{Z}}$  is a Riesz sequence in  $\mathcal{H}$  we have

$$\mathcal{A}_a = \left\{ \sum_{n \in \mathbb{Z}} \alpha_n U^n a : \{\alpha_n\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z}) \right\}.$$

The sequence  $\{U^n a\}_{n \in \mathbb{Z}}$ 

- The *auto-covariance* function admits the integral representation

$$R_a(k) := \langle U^k a, a \rangle_{\mathcal{H}} = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{ik\theta} d\mu_a(\theta), \quad k \in \mathbb{Z},$$

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- The positive Borel spectral measure  $\mu_a$  can be decomposed as  $d\mu_a(\theta) = \phi_a(\theta)d\theta + d\mu_a^s(\theta)$ .
- The sequence  $\{U^n a\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $\mathcal{A}_a$  if and only if the singular part  $\mu_a^s \equiv 0$  and

$$0 < \operatorname{ess\,inf}_{\theta \in (-\pi, \pi)} \phi_a(\theta) \leq \operatorname{ess\,sup}_{\theta \in (-\pi, \pi)} \phi_a(\theta) < \infty.$$

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- Characterize the sequence  $\{U^{rk} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  as a **frame (Riesz basis)** in  $\mathcal{A}_a$ .
- Look for those **dual frames** having the same form  $\{U^{rk} c_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  for some  $c_j \in \mathcal{A}_a$ , so that, for any  $x \in \mathcal{A}_a$  the **expansion**

$$x = \sum_{j=1}^s \sum_{k \in \mathbb{Z}} \langle x, U^{rk} b_j \rangle U^{rk} c_j \quad \text{in } \mathcal{H}$$

holds.

**Remark**

In the shift-invariant case,  $U$  is defined as the shift operator  $U : f(u) \mapsto f(u - 1)$  in  $L^2(\mathbb{R})$  and we have

$$\langle f, U^{rk} b \rangle_{\mathcal{H}} = \int_{-\infty}^{\infty} f(u) \overline{b(u - rk)} du = (f * h)(rk), \quad u \in \mathbb{R},$$

where  $h(u) := \overline{b(-u)}$ .

## Procedure

For every  $j = 1, 2, \dots, s$  we have the following representation

$$\langle U^k a, U^{nr} b_j \rangle = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{i(k-rn)\theta} \phi_{a,b_j}(e^{i\theta}) d\theta.$$

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Consider the  $s \times 1$  matrices of functions defined on the torus  $\mathbb{T} := \{e^{i\theta} : \theta \in [-\pi, \pi)\}$

$$\Phi_{a,b}(e^{i\theta}) := \begin{pmatrix} \phi_{a,b_1}(e^{i\theta}) \\ \phi_{a,b_2}(e^{i\theta}) \\ \vdots \\ \phi_{a,b_s}(e^{i\theta}) \end{pmatrix},$$

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and

$$\Psi_{a,b}^l(e^{i\theta}) := (D_r S^{-l} \Phi_{a,b})(e^{i\theta}), \quad l = 0, 1, \dots, r-1.$$



Where  $D_r : L^2(\mathbb{T}) \rightarrow L^2(\mathbb{T})$  denotes the *decimation operator*

$$\sum_{k \in \mathbb{Z}} a_k e^{ik\theta} \xrightarrow{D_r} \sum_{k \in \mathbb{Z}} a_{rk} e^{ik\theta}$$

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Finally, defining the  $s \times r$  matrix of functions on the torus  $\mathbb{T}$

$$\Psi_{a,b}(e^{i\theta}) := \left( \Psi_{a,b}^0(e^{i\theta}) \ \Psi_{a,b}^1(e^{i\theta}) \ \dots \ \Psi_{a,b}^{r-1}(e^{i\theta}) \right),$$

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and its related constants,

$$A_\Psi := \operatorname{ess\,inf}_{\zeta \in \mathbb{T}} \lambda_{\min} [\Psi_{a,b}^*(\zeta) \Psi_{a,b}(\zeta)];$$

$$B_\Psi := \operatorname{ess\,sup}_{\zeta \in \mathbb{T}} \lambda_{\max} [\Psi_{a,b}^*(\zeta) \Psi_{a,b}(\zeta)]$$

## Theorem.

Let  $b_j$  be in  $\mathcal{A}_a$  for  $j = 1, 2, \dots, s$  and let  $\Psi_{a,b}$  be the associated matrix. Then, the following results hold:

- i) The sequence  $\{U^{rk} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  is a **complete system** in  $\mathcal{A}_a$  if and only if the rank of the matrix  $\Psi_{a,b}(\zeta)$  is  $r$  a.e.  $\zeta$  in  $\mathbb{T}$ .
- ii) The sequence  $\{U^{rk} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  is a **Bessel sequence** for  $\mathcal{A}_a$  if and only if the constant  $B_\Psi < \infty$ .
- iii) The sequence  $\{U^{rk} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  is a **frame** for  $\mathcal{A}_a$  if and only if constants  $A_\Psi$  and  $B_\Psi$  satisfy  $0 < A_\Psi \leq B_\Psi < \infty$ . In this case,  $A_\Psi$  and  $B_\Psi$  are the optimal frame bounds for  $\{U^{rk} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$ .
- iv) The sequence  $\{U^{rk} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  is a **Riesz basis** for  $\mathcal{A}_a$  if and only if it is a frame and  $s = r$ .

## The frame expansion

Taking into account the  $r \times s$  matrix  $\Gamma_{\mathbb{U}}$  of functions on  $\mathbb{T}$

$$\Gamma_{\mathbb{U}}(e^{i\theta}) := \Psi_{\mathbf{a},\mathbf{b}}^{\dagger}(e^{i\theta}) + \mathbb{U}(e^{i\theta})[\mathbb{I}_s - \Psi_{\mathbf{a},\mathbf{b}}(e^{i\theta})\Psi_{\mathbf{a},\mathbf{b}}^{\dagger}(e^{i\theta})],$$

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where  $\mathbb{U}(e^{i\theta})$  is any  $r \times s$  matrix with entries in  $L^{\infty}(\mathbb{T})$ , and  $\Psi_{\mathbf{a},\mathbf{b}}^{\dagger}$  denotes the Moore-Penrose left-inverse of  $\Psi_{\mathbf{a},\mathbf{b}}$ ,

$$\Psi_{\mathbf{a},\mathbf{b}}^{\dagger}(e^{i\theta}) := [\Psi_{\mathbf{a},\mathbf{b}}^*(e^{i\theta})\Psi_{\mathbf{a},\mathbf{b}}(e^{i\theta})]^{-1}\Psi_{\mathbf{a},\mathbf{b}}^*(e^{i\theta}).$$

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We can find  $c_j \in \mathcal{A}_a$  such that the sequences  $\{U^{kr}c_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  and  $\{U^{kr}b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s}$  are a pair of **dual frames** for  $\mathcal{A}_a$ . Hence, for any  $x \in \mathcal{A}_a$  we obtain the following recovery formula

$$x = \sum_{j=1}^s \sum_{k \in \mathbb{Z}} \langle x, U^{kr}b_j \rangle U^{kr}c_j \quad \text{in } \mathcal{H}.$$



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In order to give sense to  $U^{rk+\epsilon_{mj}}b_j$  we need to introduce a **continuous group of unitary operators**  $\{U^t\}_{t \in \mathbb{R}}$ , such that  $U = U^1$ .

# A brief walk on continuous groups of unitary operators

## A brief walk on continuous groups of unitary operators

$\{U^t\}_{t \in \mathbb{R}}$  is a family of unitary operators in  $\mathcal{H}$  satisfying:

- 1  $U^t U^{t'} = U^{t+t'}$ ,
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Classical **Stone's theorem** assures us the existence of a self-adjoint operator  $T$  (possibly unbounded) such that  $U^t \equiv e^{itT}$ . This self-adjoint operator  $T$ , defined on the dense domain of  $\mathcal{H}$

$$D_T := \left\{ x \in \mathcal{H} \text{ such that } \int_{-\infty}^{\infty} w^2 d\|E_w x\|^2 < \infty \right\},$$

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$$\langle Tx, y \rangle = \int_{-\infty}^{\infty} w d\langle E_w x, y \rangle \quad \text{for any } x \in D_T \text{ and } y \in \mathcal{H},$$

where  $\{E_w\}_{w \in \mathbb{R}}$  is the corresponding *resolution of the identity*.



## Resolution of the identity

is a one-parameter family of projection operators  $E_w$  in  $\mathcal{H}$  such that

- 1  $E_{-\infty} := \lim_{w \rightarrow -\infty} E_w = O_{\mathcal{H}}, \quad E_{\infty} := \lim_{w \rightarrow \infty} E_w = I_{\mathcal{H}},$
- 2  $E_{w-} = E_w$  for every  $-\infty < w < \infty,$
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Recall that  $\|E_w x\|^2$  and  $\langle E_w x, y \rangle,$  as functions of  $w,$  have bounded variation and define, respectively, a positive and a complex Borel measure on  $\mathbb{R}.$

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Notice that, whenever the self-adjoint operator  $T$  is bounded,  $D_T = \mathcal{H}$  and  $e^{iT}$  can be defined as the usual exponential series; in any case,  $U^t \equiv e^{itT}$  means that

$$\langle U^t x, y \rangle = \int_{-\infty}^{\infty} e^{iwt} d\langle E_w x, y \rangle, \quad t \in \mathbb{R},$$

where  $x \in D_T$  and  $y \in \mathcal{H}$ .

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If the following constant

$$R := \|\epsilon\|_{\ell_r^2}^2 \max_{j=1,2,\dots,r} \left\{ \int_{-\infty}^{\infty} w^2 d\|E_w b_j\|^2 \right\},$$

## The perturbed sequence $\{U^{rk+\epsilon_{kj}} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,s^*}$

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- The sequence  $\{U^{kr} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,r}$  is a Riesz basis for  $\mathcal{A}_a$ .

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$$R := \|\epsilon\|_{\ell_r^2}^2 \max_{j=1,2,\dots,r} \left\{ \int_{-\infty}^{\infty} w^2 d\|E_w b_j\|^2 \right\},$$

satisfies  $R < A_\Psi$ , then the sequence  $\{U^{kr+\epsilon_{kj}} b_j\}_{k \in \mathbb{Z}; j=1,2,\dots,r}$  is a **Riesz sequence** in  $\mathcal{H}$ .



**Recover  $x \in \mathcal{A}_a$  in a stable way from the perturbed sequence**

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and its related the constants  $\alpha_{\mathbb{G}}$  and  $\beta_{\mathbb{G}}$  given by

$$\alpha_{\mathbb{G}} := \operatorname{ess\,inf}_{w \in (0, 1/r)} \lambda_{\min}[\mathbb{G}^*(w)\mathbb{G}(w)],$$

$$\beta_{\mathbb{G}} := \operatorname{ess\,sup}_{w \in (0, 1/r)} \lambda_{\max}[\mathbb{G}^*(w)\mathbb{G}(w)].$$

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are smaller than  $\gamma$  for all  $j = 1, 2, \dots, s$ , then there exists a **frame**  $\{C_{m,j}^\epsilon\}_{m \in \mathbb{Z}; j=1,2,\dots,s}$  for  $\mathcal{A}_a$  allowing the recovery of any  $x \in \mathcal{A}_a$  by means of the **sampling expansion**

$$x = \sum_{j=1}^s \sum_{m \in \mathbb{Z}} \langle x, U^{rm+\epsilon_{mj}} b_j \rangle_{\mathcal{H}} C_{m,j}^\epsilon \quad \text{in } \mathcal{H}.$$

**THANKS.**



- It has been proved that the sequence  $\{\overline{g_j(w)} e^{2\pi i r n w}\}_{n \in \mathbb{Z}; j=1,2,\dots,s}$  is a frame for  $L^2(0, 1)$  if and only if  $0 < \alpha_{\mathbb{G}} \leq \beta_{\mathbb{G}} < \infty$ .

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- Consider the sequence  $\{\overline{g_{m,j}(w)} e^{2\pi i r m w}\}_{m \in \mathbb{Z}; j=1,2,\dots,s}$  as a perturbation of the above frame in  $L^2(0, 1)$ , where

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- Define the functions,

$$M_{a,b_j}(\gamma) := \sum_{k \in \mathbb{Z}} \max_{t \in [-\gamma, \gamma]} |\langle a, U^{k+t} b_j \rangle - \langle a, U^k b_j \rangle|,$$

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$$N_{a,b_j}(\gamma) := \max_{k=0,1,\dots,r-1} \sum_{m \in \mathbb{Z}} \max_{t \in [-\gamma, \gamma]} |\langle a, U^{r m + k + t} b_j \rangle - \langle a, U^{r m + k} b_j \rangle|.$$

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$$\sum_{j=1}^s M_{a,b_j}(\gamma_j) N_{a,b_j}(\gamma_j) < \alpha_{\mathbb{G}}/r$$

### Remark

The obtained sampling formula is useless from a practical point of view: it is impossible to determine the involved frame  $\{C_{m,j}^\epsilon\}_{m \in \mathbb{Z}; j=1,2,\dots,s}$ . As a consequence, in order to recover  $x \in \mathcal{A}_a$  from the sequence of inner products  $\{\langle x, U^{rm+\epsilon_{mj}} b_j \rangle_{\mathcal{H}}\}_{m \in \mathbb{Z}; j=1,2,\dots,s}$  we could implement a frame algorithm in the  $\ell^2(\mathbb{Z})$  setting.